I want to start by exempting myself from this invitation for merciless criticism at the end, in my new responsible position to use a word that is commonly applied to the Government of South Vietnam which is my main responsibility I am feeling rather fragile as a result of the last courle of months, Merciless criticism on any subject I don't need Friendly, supporting comments. The Cuban Massie crises in 1962 which were just recently commemorating left us with ambivalent attitudes toward both the notion of crises and the notion of management. It taught us that crises could be good if they could besult in the advancement of national aims, if they were not entirely episodes of anxiety and offensive behavior. It raised the possibility that crises could be looked at to some extent as opportunities. At the same time the word management (as in news management) acquired some unfavorable connotations and yet the crisks coincided with a growing feeling that the likelihood of major war sopreceded by a crisks was growing much smaller than we considered earlier. Those of you who have been in command and control problems for years know that most of the effort over the years [really [I would say] was based upon planning for and planning related to an episode that was thought of as essentially andout of the blue kind of agression against the United States. The indications of the Cuban crises and [then looking back on other incidents that we've been through began to impress people more with the thought that if major war ever came, in particular if major muclear war aver came, it would come as a result of a major crises proceded by a crises which is to say that it would not be in the nature of a building that burned to the ground before the fire alarm elerted

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the Fire Department, but that would be proceded by a period of urgent searching for a solution at the highest levels of the Government x Beoponding period of internal consultations, a period of wary deep and somewhat prolonged that is having some duration, a period of soul searching at the highest levels of Government, consultations with our allies and, probably communications of various forms with opponents. Why this perception didn't [really focus interest dadn't] arise much earlier is a little hard to say because there have been events that the newspapers label crises andmuch the same terms as the Cuban crises before without apparently having raised this kind of attention. We can all think of Suez, Lebanon, the Kuwait, on a slightly lesser scale such events that were typically labeled crises as the break-up of the 1960 Paris summit conference, the U-2 epasode, even things like the skybolt and exisode, certainly the bay of pigs. We can all think of these affairs In part the probably they didn't raise the same deal of attention and interest because in the case, for example, of Kuwait (and some of the others ] bay of pigs, there was considerable public Should disagfeement with the objectives that seemed to be pursued. A good deal of controversy unlike typically the Cuban crises) so that the administration at the time didn't get one credit for the degree of management which it may have exhibited during the crises. And his lock of publicity inhibited the name that didn't really raise that crises as such as something to be studied and learn from and management is something to be analysed and improved. Well, as I say this is size legacy of the Cuban crists to a large extent. 2f In connection with my duties in ISA, I'm involved in dayto day operations concerning South Vietnam which is obviously macharacter

of rather cortinuing crise a see months. It natures to beer roceded by some six months at which ! was studying crises, past crises with hope to learning some insite that would be available in the future. Legot as a newspaper reader a person whose knowledge of crises had earlier been based mainly on the newspapers, I got in the course of that study a good many surprises as to what was going on. It left me with the feeling that crises were not only an unstudied, but really an ununderstood phenomenon which means of course that study can be expected to be rewarding. At the same time time there were I became most conscious of in the course of the study were the obstacles to research on crises. In many cases unantiripated obstacles, ene barriers that developed arosequite pressures that arose against studing these phenomenons walls that are constructed around the inner phenomenas of crises manhad been hidden from me lerge and I think are hidden from a good many people. And they are a gonficant in themselves. In fact, think I'm going to organize this brief talk around some of the aspects of crises which constitute barriers to and problems to the study of them. I think that to understand some of these problems, some of the souliar aspects of crises data is to understand some important things about arises. The aspects of crises then that I'm going to talk about are four the crises as failure, the crists as surprise, the crists as dialogue, and the crists as summit. Now it m going coveriate good with semin sort of a coupld of rounds. The fact is that the major crises that the have come commind others that the ones that I we listed and the ones that you think do have the characteristics of coming as they appear in the newspapers at least commences surprises, and as a matter of fact, in a close exmination,

they usually did involve significant surpreses. Now these surprives were not always what the public thought they were, or what the Presiden T may have said they were, what verious columnnists may have said they But they seemed to be and (nevertheless) they were very significant aspects of surprises. This in itself becomes surprising as you begin to delve into the process of crises decision making. Because of course one thing you become aware of is the extraordinary range of information that the President has available to him, The kinds of information, who secrecy is quite as well guarded, the variety of these things, and the intensity of the intelligence cotimate of process leady up to these advances and the fact that despite this effort and the sophistication of it, i and I know a number of you must be involved in supporting that effort in one way or another, inspite of these, quitesse shocking surprises did occur. (And the fact that the crises began with, now we one) of the MRBM's and IRBM's on Cuba, but in several of the other cases I mentioned, so well were major surprises. Suez this is one that is a little complicated to discuss because surprise was not certainly the simple fact that the British and French did cooperate with the Israelas in the attack but the timing of the attack, the exact nature of the attack, did in fact involve tactically, at least, quite startling suprese to Essenhower and Dallas. This is attested by a number of people in public accounts of the same supported internally area all thats necessary is that there by surprise about a significant

detail, and by that I mean something that would have made a difference if you predicted it. It doens't have to be gross outlines of the event, but it are can be the timing, it can be the precise direction, it can be the statements anat accompany it, or the other events that accompnay it, things which if they had been anticipated would have made a difference to the decision maker. To use the technical term for decision theory, these are events whose occurrence is associated with regret, great regret by the decision maker. And as I say this seems to be associated strongly with these major crises, although it would not necessarily put it as part of the definition. They I want to come back far a moment to some of the consequences of the fact of surprise, but I was just mentioning the espect. The crises as failure, the very fact of g urgent shallenge requiring very urgent high-level consideration, Presidential participation, almost presupposes that somewhere the system has failed. Retrospectively, everyone may be absolved from this implication but in the meantime the very existence of the situation raises e open sight to question. Reises the presupposition that as in the peom, that has popped into my head someone had blundered smmewhere, someone had failed to foresee. There is a presumption to this effect which may or may not turn out to be srue. Someone had failed to foresee the situation and planned for it earlier which is why you seemed to find yourself having to work late all night, in fact all weekend, which is kind of a definition of a crises, and why the Preisent has to be flown to and from Texas or come back from lens or that sort of thing, they demand taken care of earlier in other words. Somebody had failed. Or the thing had been foreseen, and sometime not been prepared for the implications hadn't been foreseen. Steps were not taken to detour the apposing moves that had raised the crises or to counter them in

advance. The crises is simpst direned by the need to consider itolent Why were not alternatives prepared counter measures in the short in or used that would have proclass, the deed for these violent alternatives. Not these are all juestions which to be defined relivence operationally har- which are going to be asked the President in his press conference. And the prospect of those questions may keep the President from having a press conference in the next week or two. in this event. Thust's a rather good test, He is going to have to face those questions in the presse, in the cables from our allies, and in his official family. To say that those questions were raised is to say that the president had colitical stakes in the administration. tion has political states in this espsode which we label a crises. It means that ween that he can be, however it comes out, he can be judged bes having failed in some respect and of course he has many potential failures still ahead or him. By definition, were less the public attention has focused upon the President's toll and the administration policy in this episode, which means his decisions will have to be made in the light of the outcome but also in the light of whatever leaks out of the decision process. He will be judged on the bases exxistaxxee of his competence in general and this has political significance in particular when this thing comes payoff that we an election respected of payoff. ... Il weigh When the public is in a mind to wieght what it hears and what it learns about Presidential performance in administration performance, and act on it by their vices. Acc curiously I think having looked